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PCT

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Dated

4 February 2003

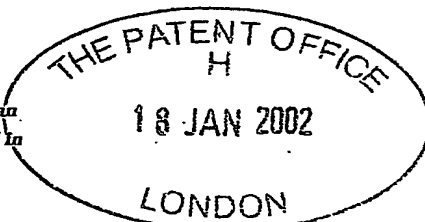
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P01/7700 0.00-0201141.9

# Request for grant of a patent

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1. Your reference

P013264GB NJN

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3. Full name, address and postcode of the or of each applicant (underline all surnames)

I.C. Innovations Limited  
47 Prince's Gate  
Exhibition Road  
London, SW7 2QA

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

United Kingdom

2952369001

4. Title of the invention

COORDINATION COMPLEX

5. Name of your agent (if you have one)

D Young & Co

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

21 New Fetter Lane  
London  
EC4A 1DA

Patents ADP number (if you know it)

59006

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number  
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Date of filing  
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7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing  
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8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

Yes

- a) any applicant named in part 3 is not an inventor, or
  - b) there is an inventor who is not named as an applicant, or
  - c) any named applicant is a corporate body.
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Patents Form 1/77

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Description 16 /

Claim(s) 7 /

Abstract 1 /

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Priority documents

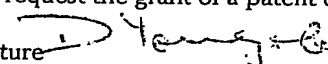
Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11. I/We request the grant of a patent on the basis of this application.
- Signature  Date 18 January 2002
- D Young & Co (Agents for the Applicants)

12. Name and daytime telephone number of person to contact in the United Kingdom
- Neil Nachshen 020 7353 4343

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Notes

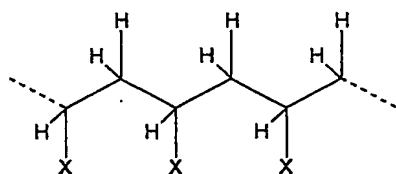
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## COORDINATION COMPLEX

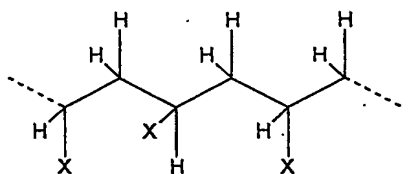
The present invention relates to a series of discrete, well-defined coordination complexes. More specifically, the invention concerns the use of Group 2 metal complexes in the controlled polymerisation of acrylate and alkylmethacrylate monomers.

Over recent years, an important technological objective has been the controlled, 'living' polymerisation of acrylate and alkylmethacrylate monomers to give products of controlled molecular weight and molecular weight distribution, and to provide access to block co-polymer materials. Examples of controlled or 'living' polymerisations include anionic polymerisation [C. Zune, R. Jérôme, Prog. Polym. Sci., 1999, 24, 631], group transfer polymerisation [O.W. Webster, W.R. Hertler, D.Y. Sogah, W.B. Farnham, T.V. Rajanbabu, J. Am. Chem. Soc., 1983, 105, 5706], atom transfer radical polymerisation [K. Matyjaszewski, J. Xia, Chem. Rev., 2001, 101, 2921], immortal polymerisation [T. Aida, S. Inoue, Acc. Chem. Res., 1996, 29, 39], catalytic chain transfer polymerisation [T.P. Davis, D.M. Haddleton, S.N. Richards, J. Macromol. Sci. Rev. Macromol. Chem. Phys., 1994, C34, 243], screened anionic polymerisation [D.G.H. Ballard, R.J. Bowles, D.M. Haddleton, S.N. Richards, R. Sellens, D.L. Twose, Macromolecules, 1992, 25, 5907] and metal-free anionic polymerisations [M.T. Reetz, Angew. Chem., Int. Ed. Engl. 1988, 27, 994].

Stereospecific polymers can exist in two different forms, isotactic and syndiotactic, as shown below.



ISOTACTIC



SYNDIOTACTIC

By way of contrast, an atactic polymer is one that has no regular arrangement along the chain.

Another important objective in the field of polymer chemistry has been to develop systems that can control the tacticity of products such as polymethylmethacrylate under industrially relevant process conditions. For example, the higher softening temperature accompanying highly syndiotactic polymethylmethacrylate confers beneficial properties on the resultant materials. Examples include s-PMMA for

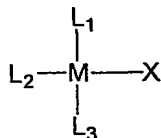
injection molding, artificial marble pre-mixes, stereocomplexes for preparing membranes and/or gel base materials, and syndiotactic-isotactic block PMMA for forming resist patterns.

To date, a number of systems have been described that can effect syndiotactic control in polymethylmethacrylate. These include organolanthanides [H. Yasuda, H. Yamamoto, K. Yokota, S. Miyake and A. Nakamura, J. Am. Chem. Soc., 1992, 114, 4908; M. Nodono, T. Tokimitsu, S. Tone, T. Makino and A. Yanogase, Macromol. Chem. Phys., 2000, 201, 2282], zirconocenes [A.D. Bolig and E. Y.-X. Chen, J. Am. Chem. Soc., 2001, 123, 7943] aluminium compounds [T. Kitayama, T. Shinozaki, T. Sakamoto, M. Yamamoto and K. Hatada, Makromol. Chem. Suppl., 1989, 15, 167; G.L.N. Péron, R.J. Peace and A.J. Holmes, J. Mater. Chem., 2001, 11, 2915], magnesium compounds [T.Kitayama, T.Shinozaki, E. Masuda, M. Yamamoto and K. Hatada, Polym. Bull., 1988, 20, 565] and enamine initiators [M. Miyamoto and S. Kanetaka, J. Polym. Sci.: Part A: Polym. Chem., 1999, 37, 3671]. Most of these systems are accompanied by one or more limitations: either exceptionally low temperatures (e.g. -78°C or below) are required to obtain high syndiotacticity, and/or the molecular weight control over the resultant product is poor.

The present invention thus seeks to provide a series of discrete, well-defined coordination complexes that are useful as initiators in the polymerisation of alkylacrylate and/or alkylmethacrylate monomers. More specifically, the invention seeks to provide coordination complexes that are capable of influencing and/or

controlling the syndiotacticity of the resulting polymer but which alleviate some of the above-mentioned problems associated with prior art complexes.

In a first aspect, the invention provides a complex of formula I



I

wherein

M is Ca, Mg, Ba or Sr;

- 10  $L_1$  is selected from  $R^1O$ ,  $R^2S$ ,  $R^3R^4N$ ,  $R^5R^6P$  and substituted or unsubstituted cyclopentadienide, where  $R^{1-6}$  are each independently H or hydrocarbyl;

- $L_2$  is selected from  $R^7R^8O$ ,  $R^7R^8S$ ,  $R^7R^8R^9N$ ,  $R^7R^8C=NR^9$ ,  $PR^7R^8R^9$ , or a heterocycle containing one or more O, N or S atoms, where  $R^{7-9}$  are each independently H or a hydrocarbyl group; or  $L_1$  and  $L_2$  are linked to form a bidentate ligand;
- 15

$L_3$  is absent or is a solvent molecule, or a neutral ligand as defined for  $L_2$ , wherein  $L_3$  may be the same or different to  $L_2$ ; or  $L_3$  is linked to a further metal centre; or  $L_1$ ,  $L_2$  and  $L_3$  are linked to form a tridentate ligand; and

- 20 X is an alkyl group, an aryl group, an aryloxy or an enolate group of formula  $R^{10}R^{11}C=CR^{12}O^-$ , wherein  $R^{10-12}$  are each independently H or hydrocarbyl;

- with the proviso that when  $L_1$  and  $L_2$  are  $\{HC(C(CH_3)=N-2,6-^iPr_2C_6H_3)_2\}$  and M is magnesium, X is other than Me or  $^tBu$ .
- 25

As used herein, the term "hydrocarbyl" refers to a group comprising at least C and H that may optionally comprise one or more other suitable substituents. Examples of such substituents may include halo-, alkoxy-, nitro-, an alkyl group, or a cyclic

group. In addition to the possibility of the substituents being a cyclic group, a combination of substituents may form a cyclic group. If the hydrocarbyl group comprises more than one C then those carbons need not necessarily be linked to each other. For example, at least two of the carbons may be linked via a suitable element or group. Thus, the hydrocarbyl group may contain heteroatoms. Suitable heteroatoms will be apparent to those skilled in the art and include, for instance, sulphur, nitrogen, oxygen, phosphorus and silicon.

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Preferably, M is Ca or Mg.

10

In a preferred embodiment,  $R^1$  and  $R^2$  are hydrocarbyl, and  $R^{3-6}$  are H or hydrocarbyl.

15

In a particularly preferred embodiment,  $R^1$  and  $R^2$  are each independently selected from branched or unbranched alkyl, branched or unbranched alkenyl, or aryl, each of which may be substituted or unsubstituted.

20

As used herein, the term "alkyl" refers to a saturated carbon-containing chain which may be straight or branched, and substituted (mono- or poly-) or unsubstituted. Suitable substituents include those which do not have any significant adverse effect on the activity of the complex.

Preferably, the alkyl group is a  $C_{1-20}$  alkyl group, more preferably a  $C_{1-10}$  alkyl group.

25

Accordingly, the term "haloalkyl" refers to an alkyl group substituted by at least one halogen, for example, chlorine, bromine, fluorine or iodine.

Accordingly, the term "heteroalkyl" refers to an alkyl group containing at least one heteroatom, for example, O, N or S.

30

As used herein, the term "alkenyl" refers to a  $C_{2-20}$  unsaturated carbon-containing chain which may be branched or unbranched, and substituted (mono- or poly-) or unsubstituted. Preferably the alkenyl group is a  $C_{2-10}$  alkenyl group.

As used herein, the term "aryl" refers to a C<sub>6-10</sub> aromatic, substituted (mono- or poly-) or unsubstituted. Again, suitable substituents include those which do not have any significant adverse effect on the activity of the complex.

- 5 As used herein, the term "cycloalkyl" refers to a cyclic alkyl group which may be substituted (mono- or poly-) or unsubstituted.

As used herein, the term "heterocycle" refers to an aromatic or non-aromatic heterocycle comprising one or more heteroatoms. Preferred heterocycle groups  
10 include pyrrole, pyrimidine, pyrazine, pyridine, quinoline, thiophene and furan.

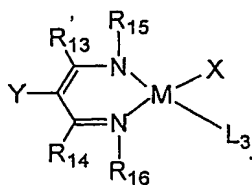
In one preferred embodiment, X is an alkyl group.

- In another preferred embodiment, X is an enolate group of formula R<sup>10</sup>R<sup>11</sup>C=CR<sup>12</sup>O-,  
15 wherein R<sup>10-12</sup> are each independently H or hydrocarbyl. Preferably, R<sup>10</sup> and R<sup>11</sup> are H and R<sup>12</sup> is an aryl group.

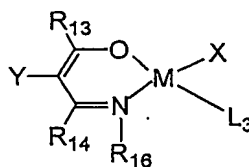
Even more preferably, X is <sup>i</sup>Pr or -OC(=CH<sub>2</sub>)Ar, wherein Ar = 2,4,6,-Me<sub>3</sub>C<sub>6</sub>H<sub>2</sub>.

- 20 In a preferred embodiment, L<sub>1</sub> and L<sub>2</sub> are linked to form a bidentate ligand selected from derivatives of acetylacetonate, e.g. a beta-diketiminate or a beta-ketoiminate.

In one preferred embodiment, the complex of the invention is of formula II or III



II



III

25

wherein

Y is H, halogen, NO<sub>2</sub>, hydrocarbyl or CN;



$R^{13-16}$  are each independently selected from H and hydrocarbyl; or Y and  $R^{13}$  are linked to form a hydrocarbyl group; and  $L_3$  is as defined above.

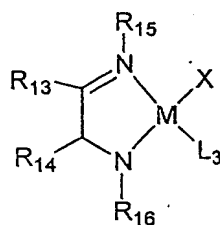
5 In a more preferred embodiment,

Y is selected from H, halogen,  $\text{NO}_2$ , CN, alkyl, aryl, haloalkyl or heteroalkyl;

$R^{13-16}$  are each independently selected from alkyl, aryl, heteroalkyl, haloalkyl, cycloalkyl and a heterocyclic ring containing at least one O, N or S atom; or Y and  $R^{13}$  are linked to form an aryl group; and

10  $L_3$  is selected from  $R^7R^8O$ ,  $R^7R^8S$ ,  $R^7R^8R^9N$ ,  $R^7C=NR^8$ ,  $PR^7R^8R^9$ , thiophene and tetrahydrofuran, where  $R^{7-9}$  are each independently H or a hydrocarbyl group.

In another preferred embodiment, the complex of the invention is of formula V



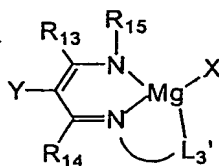
V

15 wherein  $R^{13-16}$  are as defined above, and where  $R^{13}$  and  $R^{15}$  are optionally linked to form an aryl group.

20 In one preferred embodiment of the invention,  $L_1$ ,  $L_2$  and  $L_3$  are linked to form a tridentate ligand.

In a particularly preferred embodiment,  $L_1$ ,  $L_2$  and  $L_3$  are linked to form a tridentate ligand selected from a beta-diketiminato with a pendant donor group, and a Schiff base derivative with a pendant donor arm.

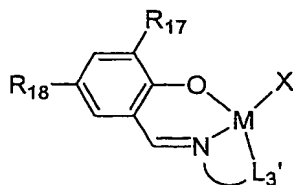
25 Even more preferably, the complex of the invention is of formula VI



VI

wherein  $L_3'$  is defined as for  $L_3$  above, and is linked to the nitrogen of the bidentate  
5 ligand via a linker group.

In an alternative preferred embodiment, the complex is of formula VII



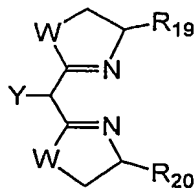
VII

10

wherein  $L_3'$  is defined as for  $L_3$  above, and is linked to the nitrogen of the bidentate  
ligand via a linker group, and  $R^{17-18}$  are as defined for  $R^{13-16}$  above.

Preferably, where the complex is of formula VI or VII, the linker group is  $(CH_2)_n$   
15 where  $n$  is 0-6, an arylene group, or  $SiR_2$ , where  $R$  is a hydrocarbyl group.

In yet another preferred embodiment of the invention,  $L_1$  and  $L_2$  form a bidentate  
ligand of formula VIII



VIII

20

wherein

Y is as defined above;

W is O, NH, NR' or CH<sub>2</sub>, where R' is a hydrocarbyl group.; and

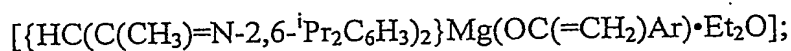
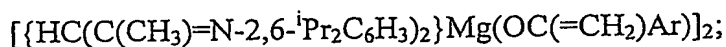
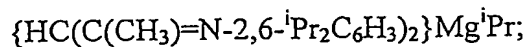
R<sup>19-20</sup> are as defined for R<sup>13-16</sup> above.

5

In one preferred embodiment, the invention comprises a dimer of a complex as described hereinbefore.

~~In an especially preferred embodiment, the complex of the invention is selected from~~

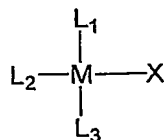
10 the following:



wherein Ar = 2,4,6,-Me<sub>3</sub>C<sub>6</sub>H<sub>2</sub>.

15

In a second aspect, the invention relates to the use of a complex of formula Ia as a polymerisation initiator,



Ia

20

wherein

M is Ca, Mg, Ba or Sr;

25 L<sub>1</sub> is selected from R<sup>1</sup>O, R<sup>2</sup>S, R<sup>3</sup>R<sup>4</sup>N, R<sup>5</sup>R<sup>6</sup>P and substituted or unsubstituted cyclopentadienide, where R<sup>1-6</sup> are each independently H or hydrocarbyl;

L<sub>2</sub> is selected from R<sup>7</sup>R<sup>8</sup>O, R<sup>7</sup>R<sup>8</sup>S, R<sup>7</sup>R<sup>8</sup>R<sup>9</sup>N, R<sup>7</sup>R<sup>8</sup>C=NR<sup>9</sup>, PR<sup>7</sup>R<sup>8</sup>R<sup>9</sup>, or a heterocycle containing one or more O, N or S atoms, where R<sup>7-9</sup> are each independently H or a hydrocarbyl group; or L<sub>1</sub> and L<sub>2</sub> are linked to form a bidentate ligand;

$L_3$  is absent or is a solvent molecule, or a neutral ligand as defined for  $L_2$ , wherein  $L_3$  may be the same or different to  $L_2$ ; or  $L_3$  is linked to a further metal centre; or  $L_1$ ,  $L_2$  and  $L_3$  are linked to form a tridentate ligand; and

- 5 X is an alkyl group, an aryl group, an amide, an alkoxide, an aryloxy or an enolate group of formula  $R^{10}R^{11}C=CR^{12}O^-$ , wherein  $R^{10-12}$  are each independently H or hydrocarbyl;

10 with the proviso that when  $L_1$  and  $L_2$  are  $\{HC(C(CH_3)=N-2,6-iPr_2C_6H_3)_2\}$ , M is magnesium, X is other than Me or  $tBu$ .

Preferably, M is Ca or Mg.

15 The preferred embodiments for the second aspect of the invention are identical to those described hereinabove for the first aspect.

In a preferred embodiment, the invention relates to the use of a complex of formula Ia in the polymerisation of acrylate and/or alkylacrylate monomers. In particular, the complexes of the present invention are capable of influencing the tacticity of the  
20 resulting polymer. More specifically, the complexes of the invention are capable of inducing a high degree of syndiotacticity in the resulting polymer.

As used herein, the term "acrylate monomer" refers to an acrylate monomer which is optionally substituted by one or more hydrocarbyl groups as defined hereinabove.

25

Similarly, the term "alkylacrylate" refers to an alkylacrylate monomer which is optionally substituted by one or more hydrocarbyl groups as defined hereinabove.

30 Preferably, said acrylate and alkylacrylate monomers are substituted by branched acyclic and cyclic hydrocarbons and/or functionalised substituents such as hydroxyalkyl, glycidyl and glycolethers.

Preferably, said acrylate monomer is an alkylacrylate.

Preferably, said alkylacrylate monomer is an alkylmethacrylate.

- 5 One preferred embodiment relates to the use of complexes in accordance with the second aspect of the invention as initiators in the preparation of block copolymers. By way of example, said complexes may be used in the preparation of a block copolymer of methyl methacrylate and n-butyl methacrylate. Further details of this aspect of the invention are provided in the accompanying examples section.
- 

10

In a third aspect, the invention provides a process for the polymerisation of acrylate and/or alkylacrylate monomers, said process comprising contacting an initiating amount of a complex of formula Ia as defined above with an acrylate and/or an alkylacrylate monomer in the presence of a suitable solvent.

15

In a preferred embodiment, the invention provides a polymerisation process for preparing a block copolymer, for example, a block copolymer of methyl methacrylate and n-butyl methacrylate.

- 20 In a further preferred aspect, the polymerisation takes place in the presence of a chain transfer reagent.

Preferably, the chain transfer reagents have an acidic proton in the alpha position to a carbonyl group and are of the formula  $Z-CH_2-C(=O)-R''$ , wherein  $R''$  is H, alkyl or aryl, and Z is selected from aryl, alkyl, H, amino, alkylamino, acyl, alkoxy (OR), thiol (SR) or heterocycle, where R is a hydrocarbonyl group.

- 25 An example of a chain transfer reagent in which Z is aryl is 2',4',6'-trimethylacetophenone. Examples of chain transfer reagents in which Z is alkylamino include amino methyl ketones and amino ethyl ketones. An example of a chain transfer reagent in which Z is acyl is 2,4-pentanedione, i.e. Z is  $C(=O)CH_3$  and  $R''$  is  $CH_3$ .
- 30

Other suitable chain transfer reagents are known in the literature and will be apparent to the person skilled in the relevant art.

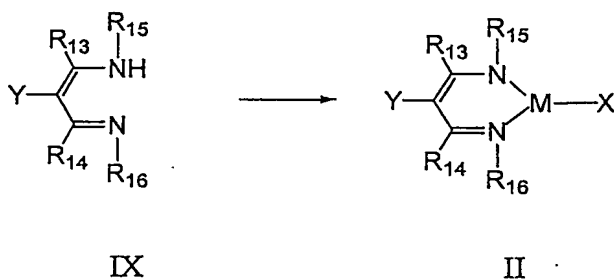
Preferably, the ratio of monomer to the complex in the above process is between 10:1 to  $10^6:1$ .

A fourth aspect of the invention provides an article prepared by the above-described process.

A fifth aspect of the invention provides a composition comprising an acrylate and/or an alkylacrylate monomer and a complex of formula Ia as defined above.

A sixth aspect of the invention provides a composition comprising poly(alkylacrylate) and/or poly(alkylmethacrylate) or co-polymers thereof, and a complex of formula Ia as defined above.

A seventh aspect of the invention relates to a process for preparing a complex of formula II as defined hereinabove, where X is alkyl, said process comprising reacting a compound of formula IX with (a)  $n\text{BuLi}$ , and (b)  $\text{XMgCl}$



Alternatively, in an eighth aspect of the invention, the complex of formula II may be prepared by reacting a compound of formula IX with a di(alkyl)magnesium compound,  $\text{MgX}_2$ .

In a ninth aspect, the invention provides a process for preparing a complex of formula II, as defined above, where X is an enolate group of formula  $\text{R}^{10}\text{R}^{11}\text{C}=\text{CR}^{12}\text{O}^-$ , said

process comprising reacting the product obtained from the above-described seventh and eighth aspects with a compound of formula  $HR^{10}R^{11}C-C(O)R^{12}$ .

A tenth aspect of the invention provides a method for producing poly(alkylacrylate) or poly(alkylmethacrylate) having a syndiotacticity of greater than 75%, and preferably greater than 85%, said method comprising contacting the corresponding monomer (alkyl acrylate, or alkylmethacrylate, or mixtures thereof) with a complex of formula Ia as defined above in a suitable solvent.

10 Preferably, said method is carried out at a temperature in excess of  $-40^{\circ}\text{C}$ .

Thus, in one particularly preferred embodiment, the complex of the invention is capable of affording polymethylmethacrylate with greater than 90% syndiotacticity in a highly controlled manner at a temperature in excess of  $-40^{\circ}\text{C}$ .

15

The invention is further described by way of example and with reference to the following figures wherein:

Figure 1 shows the X-ray crystal structure for the compound  $[\{HC(C(CH_3)=N-2,6-^{i}\text{Pr}_2C_6H_3)_2\}Mg(OC(=CH_2)Ar)]_2$ .

20

Figure 2 shows a graph to illustrate the relationship between monomer conversion and  $M_n$  as determined by GPC (polydispersities,  $M_w/M_n$ , quoted in brackets).

## 25 Examples

### Synthesis of $\{HC(C(CH_3)=N-2,6-^{i}\text{Pr}_2C_6H_3)_2\}Mg^{i}\text{Pr}$

$H_2C(C(CH_3)=N-2,6-^{i}\text{Pr}_2C_6H_3)_2$  (6.880g,  $1.64 \times 10^{-2}\text{mol}$ ) was dissolved in  $50\text{cm}^3$  toluene and lithiated via the addition of  $6.7\text{cm}^3$   $^n\text{BuLi}$  (2.5M in hexane,  $1.68 \times 10^{-2}\text{mol}$ ). In a separate vessel  $8.4\text{cm}^3$   $^i\text{PrMgCl}$  (2.0M in  $\text{Et}_2\text{O}$ ,  $1.68 \times 10^{-2}\text{mol}$ ) was diluted with  $10\text{cm}^3$  toluene and concentrated under reduced pressure to a white viscous liquid. This procedure was repeated in order to remove most of the  $\text{Et}_2\text{O}$  from the Grignard reagent to avoid formation of  $[\{HC(C(CH_3)=N-2,6-$

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$\text{}^i\text{Pr}_2\text{C}_6\text{H}_3)_2\text{Mg}^i\text{Pr}\cdot\text{Et}_2\text{O}]$ . The white sticky oil thus obtained was suspended in  $20\text{cm}^3$  toluene and this mixture was then added dropwise to the solution of  $\{\text{HC}(\text{C}(\text{CH}_3)=\text{N}-2,6-\text{}^i\text{Pr}_2\text{C}_6\text{H}_3)_2\}\text{Li}$  to afford a pale yellow, cloudy suspension.

- 5 The reaction was stirred overnight (18 hours) at room temperature and then filtered. Volatiles were removed in vacuo and the resultant cream coloured solid was washed with  $5\text{cm}^3$  cold ( $-78^\circ\text{C}$ ) n-pentane to afford 7.732g of a slightly off-white powder ( $1.59 \times 10^{-2}\text{mol}$ , 97.0%).

$^1\text{H}$  NMR ( $\text{C}_6\text{D}_6$ ):  $\delta$  7.10 (m, 6H, *m-H*, *p-H*), 4.92 (s, 1H,  $\text{HC}\{\text{C}(\text{CH}_3)\text{NAr}\}_2$ ), 3.13 (sept, 4H,  $^3J_{\text{HH}} = 6.9\text{Hz}$ ,  $\text{CHMe}_2$ ), 1.67 (s, 6H,  $\text{HC}\{\text{C}(\text{CH}_3)\text{NAr}\}_2$ ), 1.26 (d, 12H,  $^3J_{\text{HH}} = 6.9\text{Hz}$ ,  $\text{CH}(\text{CH}_3)_2$ ), 1.14 (d, 12H,  $^3J_{\text{HH}} = 6.9\text{Hz}$ ,  $\text{CH}(\text{CH}_3)_2$ ), 0.86 (d, 6H,  $^3J_{\text{HH}} = 6.6\text{Hz}$ ,  $\text{MgCH}(\text{CH}_3)_2$ ), 0.13 (sept, 1H,  $^3J_{\text{HH}} = 6.3\text{Hz}$ ,  $\text{MgCH}(\text{CH}_3)_2$ ).  $^{13}\text{C}$  NMR ( $\text{C}_6\text{D}_6$ ):  $\delta$  168.84 ( $\text{HC}\{\text{C}(\text{CH}_3)\text{NAr}\}_2$ ), 143.63 ( $\text{C}_{\text{ipso}}$ ), 141.41 ( $\text{C}_{\text{ortho}}$ ), 125.71 ( $\text{C}_{\text{para}}$ ), 123.80 ( $\text{C}_{\text{meta}}$ ), 94.89 ( $\text{HC}\{\text{C}(\text{CH}_3)\text{NAr}\}_2$ ), 28.39 ( $\text{ArCH}(\text{CH}_3)_2$ ), 24.10 ( $\text{HC}\{\text{C}(\text{CH}_3)\text{NAr}\}_2$ ), 24.02 ( $\text{MgCH}(\text{CH}_3)_2$ ), 23.15 ( $\text{ArCH}(\text{CH}_3)_2$ ), 9.22 ( $\text{MgCH}(\text{CH}_3)_2$ ). Elemental analysis for  $\text{C}_{32}\text{H}_{48}\text{N}_2\text{Mg}$ : C 79.24, H 9.97, N 5.78%. Found C 79.31, H 9.94, N 5.68%.

20 Synthesis of  $[\{\text{HC}(\text{C}(\text{CH}_3)=\text{N}-2,6-\text{}^i\text{Pr}_2\text{C}_6\text{H}_3)_2\}\text{Mg}(\text{OC}(=\text{CH}_2)\text{Ar})]_2$  (Ar = 2,4,6- $\text{Me}_3\text{C}_6\text{H}_2$ )

0.8240g  $\{\text{HC}(\text{C}(\text{CH}_3)=\text{N}-2,6-\text{}^i\text{Pr}_2\text{C}_6\text{H}_3)_2\}\text{Mg}^i\text{Pr}$  ( $1.70 \times 10^{-3}\text{mol}$ ) was suspended in  $20\text{cm}^3$  toluene in a Schlenk tube placed in a solid  $\text{CO}_2$  / acetone slush bath at  $-78^\circ\text{C}$ . A  $5\text{cm}^3$  toluene solution of 2',4',6'-trimethylacetophenone (0.2756g,  $1.70 \times 10^{-3}\text{mol}$ ), also at  $-78^\circ\text{C}$ , was then added dropwise over 5 minutes to afford a dark orange solution. On warming to ambient temperature the solution becomes increasingly pale yellow.

30 The reaction was stirred at room temperature for 18 hours. Removal of volatiles from the pale yellow-green solution gave a white solid which was then washed with  $10\text{cm}^3$  cold heptane ( $-78^\circ\text{C}$ ). A saturated solution was then prepared by stirring the residual white powder in  $15\text{cm}^3$  heptane at  $60^\circ\text{C}$  for 30 minutes. The solution was filtered and



allowed to slowly cool to yield very pale yellow rhomboid crystals of X-ray diffraction quality.

5 A second crop was prepared by reducing the volume of the mother liquor to approximately two-thirds and storing overnight in a freezer at  $-10^{\circ}\text{C}$ .

Total yield: 0.673g,  $5.58 \times 10^{-4}$  mol, 65.7%

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Synthesis of  $[\{\text{HC}(\text{C}(\text{CH}_3)=\text{N}-2,6\text{-}^i\text{Pr}_2\text{C}_6\text{H}_3)_2\}\text{Mg}(\text{OC}(=\text{CH}_2)\text{Ar})\cdot\text{Et}_2\text{O}]$  (Ar = 2,4,6,-  
 $\text{Me}_3\text{C}_6\text{H}_2)$

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- 10 A chilled ( $-78^{\circ}\text{C}$ )  $10\text{cm}^3$   $\text{Et}_2\text{O}$  solution of 2',4',6'-trimethylacetophenone (0.4156g,  $2.56 \times 10^{-3}$  mol) was added dropwise over 30 minutes to a  $10\text{cm}^3$   $\text{Et}_2\text{O}$  solution of  $\{\text{HC}(\text{C}(\text{CH}_3)=\text{N}-2,6\text{-}^i\text{Pr}_2\text{C}_6\text{H}_3)_2\}\text{Mg}^i\text{Pr}$  (1.2315g,  $2.54 \times 10^{-3}$  mol) in a solid  $\text{CO}_2$  / acetone slush bath at  $-78^{\circ}\text{C}$ . The reaction was allowed to warm to room temperature to give a pale yellow coloured solution, which was then stirred for a further 18 hours.
- 15 Volatiles were removed *in vacuo* to give a sticky, cream-coloured solid which was washed with  $5\text{cm}^3$  pentane at  $-78^{\circ}\text{C}$  to yield 1.312g of a white powder ( $1.94 \times 10^{-3}$  mol, 76.3%).

- Typical polymerisation procedure for  $[\{\text{HC}(\text{C}(\text{CH}_3)=\text{N}-2,6\text{-}^i\text{Pr}_2\text{C}_6\text{H}_3)_2\}\text{Mg}(\text{OC}(=\text{CH}_2)\text{Ar})]_2$
- 20 0.0084g  $[\{\text{HC}(\text{C}(\text{CH}_3)=\text{N}-2,6\text{-}^i\text{Pr}_2\text{C}_6\text{H}_3)_2\}\text{Mg}(\text{OC}(=\text{CH}_2)\text{Ar})]_2$  ( $1.39 \times 10^{-5}$  mol) was weighed out into a glass vial and dissolved in  $5\text{cm}^3$  toluene to afford a pale yellow solution. The solution was cooled to  $-30^{\circ}\text{C}$ . Methyl methacrylate (0.4183g,  $4.18 \times 10^{-3}$  mol, 300 equivalents) was then weighed out and cooled to  $-30^{\circ}\text{C}$  and added to
- 25 the initiator solution. The mixture was stirred for 10 minutes, followed by termination of the polymerisation by addition of  $25\mu\text{l}$  MeOH.

- GPC analysis was performed on a small aliquot, which was removed and dried *in vacuo*. The remainder of the solution was added to a large excess (ca.  $150\text{cm}^3$ )
- 30 MeOH, and the precipitate was collected and dried.  $^1\text{H}$  NMR analysis ( $\text{CDCl}_3$ ) gave 92% rr, 8%rm, (mm triad undetected).

Typical polymerisation procedure for  $[\{\text{HC}(\text{C}(\text{CH}_3)=\text{N}-2,6\text{-}^i\text{Pr}_2\text{C}_6\text{H}_3)_2\}\text{Mg}(\text{OC}(=\text{CH}_2)\text{Ar})\cdot\text{Et}_2\text{O}]_2$

An identical method to that described above was employed. No significant differences in the behaviour of the polymerisation using the etherate initiator were  
5 observed.

Typical polymerisation procedure for  $[\{\text{HC}(\text{C}(\text{CH}_3)=\text{N}-2,6\text{-}^i\text{Pr}_2\text{C}_6\text{H}_3)_2\}\text{Mg}^i\text{Pr}]$

An identical method to the procedure outlined for  $[\{\text{HC}(\text{C}(\text{CH}_3)=\text{N}-2,6\text{-}^i\text{Pr}_2\text{C}_6\text{H}_3)_2\}\text{Mg}(\text{OC}(=\text{CH}_2)\text{Ar})]_2$  was used. Immediately upon addition of methyl  
10 methacrylate to the initiator solution a bright yellow colouration was observed, which quickly became pale yellow. This colour persisted through the remainder of the reaction, disappearing upon addition of MeOH.

Investigation into the relationship between conversion and molecular weight

Using a similar method to that described above, 0.0080g  $[\{\text{HC}(\text{C}(\text{CH}_3)=\text{N}-2,6\text{-}^i\text{Pr}_2\text{C}_6\text{H}_3)_2\}\text{Mg}(\text{OC}(=\text{CH}_2)\text{Ar})]_2$  ( $1.33 \times 10^{-5}$  mol) was dissolved in 6cm<sup>3</sup> CDCl<sub>3</sub>. To  
15 this solution at -30°C was added neat methyl methacrylate (0.5317g,  $5.31 \times 10^{-3}$  mol, 400 equivalents). The reaction was stirred at -30°C and at set time periods (120, 240, 360 and 480 seconds), 0.35cm<sup>3</sup> aliquots were removed and immediately terminated  
20 by addition to 20µl MeOH.

Monomer conversion was calculated by diluting the samples with a further 0.35cm<sup>3</sup> CDCl<sub>3</sub> and integrating the <sup>1</sup>H NMR resonances of the OCH<sub>3</sub> signals of the monomer (δ3.71) versus the polymer (δ3.56). Volatiles were then removed in vacuo and the  
25 residue was dissolved in non-deuterated CHCl<sub>3</sub>. Analysis of this solution by gel permeation chromatography afforded a correlation of  $M_n$  versus conversion (see Figure 2).

Block copolymerisation of n-butylmethacrylate (BMA) and methylmethacrylate (MMA)

0.0106g  $[\{\text{HC}(\text{C}(\text{CH}_3)=\text{N}-2,6\text{-}^i\text{Pr}_2\text{C}_6\text{H}_3)_2\}\text{Mg}(\text{OC}(=\text{CH}_2)\text{Ar})]_2$  ( $1.76 \times 10^{-5}$  mol) was dissolved in 3cm<sup>3</sup> CDCl<sub>3</sub> at -30°C. To this stirring solution was added 0.2526g BMA  
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( $1.78 \times 10^{-5}$  mol, 101 equivalents). After 10 minutes a 300  $\mu$ l aliquot was removed and terminated by addition to 10  $\mu$ l MeOH. The polymerisation was allowed to stir for a further 60 seconds and then 0.1756g MMA ( $1.75 \times 10^{-5}$  mol, 100 equivalents) was added. The reaction was stirred for a further 10 minutes and terminated by addition of 25  $\mu$ l MeOH.  $^1\text{H}$  NMR on the aliquot revealed that before the addition of the second monomer the BMA had been totally consumed.

GPC on the aliquot before addition of the MMA showed a single, monodisperse peak ( $M_n$  calc = 14,400,  $M_n$  obs = 13,800,  $M_w/M_n$  = 1.12). GPC on the block copolymer demonstrated  $M_n$  increased upon the incorporation of the MMA ( $M_n$  calc = 24,400,  $M_n$  obs = 22,800,  $M_w/M_n$  = 1.50).

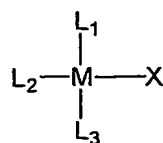
The use of 2',4',6'-trimethylacetophenone as a chain transfer agent

To a 3  $\text{cm}^3$   $\text{CDCl}_3$  solution of [ $\{\text{HC}(\text{C}(\text{CH}_3)=\text{N}-2,6\text{-}^i\text{Pr}_2\text{C}_6\text{H}_3)_2\}\text{Mg}(\text{OC}(=\text{CH}_2)\text{Ar})_2$ ] (0.0130g,  $2.16 \times 10^{-5}$  mol) at  $-30^\circ\text{C}$  was added 17.9  $\mu$ l 2',4',6'-trimethylacetophenone ( $1.08 \times 10^{-4}$  mol, 5.0 equivalents) to afford a bright yellow solution. 0.8675g MMA ( $8.66 \times 10^{-5}$  mol, 402 equivalents) was then added. After 30 minutes the reaction was terminated by the addition of 25  $\mu$ l MeOH. GPC  $M_n$  calc (assuming maximum chain transfer) = 6,700;  $M_n$  obs = 7,200,  $M_w/M_n$  = 2.83).

Various modifications and variations of the described methods of the invention will be apparent to those skilled in the art without departing from the scope and spirit of the invention. Although the invention has been described in connection with specific preferred embodiments, various modifications of the described modes for carrying out the invention which are obvious to those skilled in chemistry or related fields are intended to be within the scope of the following claims.

CLAIMS

1. A complex of formula I



I

wherein

M is Ca, Mg, Ba or Sr;

$L_1$  is selected from  $R^1O$ ,  $R^2S$ ,  $R^3R^4N$ ,  $R^5R^6P$  and substituted or unsubstituted cyclopentadienide, where  $R^{1-6}$  are each independently H or hydrocarbyl;

$L_2$  is selected from  $R^7R^8O$ ,  $R^7R^8S$ ,  $R^7R^8R^9N$ ,  $R^7R^8C=NR^9$ ,  $PR^7R^8R^9$ , or a heterocycle containing one or more O, N or S atoms, where  $R^{7-9}$  are each independently H or a hydrocarbyl group; or  $L_1$  and  $L_2$  are linked to form a bidentate ligand;

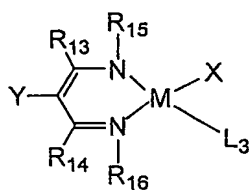
$L_3$  is absent or is a solvent molecule, or a neutral ligand as defined for  $L_2$ , wherein  $L_3$  may be the same or different to  $L_2$ ; or  $L_3$  is linked to a further metal centre; or  $L_1$ ,  $L_2$  and  $L_3$  are linked to form a tridentate ligand; and

X is an alkyl group, an aryl group, an aryloxy or an enolate group of formula  $R^{10}R^{11}C=CR^{12}O-$ , wherein  $R^{10-12}$  are each independently H or hydrocarbyl;

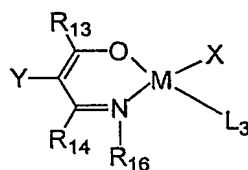
with the proviso that when  $L_1$  and  $L_2$  are  $\{HC(C(CH_3)=N-2,6-^iPr_2C_6H_3)_2\}$  and M is magnesium, X is other than Me or  $^tBu$ .

2. A complex according to claim 1 wherein  $R^1$  and  $R^2$  are hydrocarbyl, and  $R^{3-6}$  are H or hydrocarbyl.

3. A complex according to claim 1 wherein  $R^1$  and  $R^2$  are each independently selected from branched or unbranched alkyl, branched or unbranched alkenyl, or aryl, each of which may be substituted or unsubstituted.
4. A complex according to claim 1 wherein  $L_1$  and  $L_2$  are linked to form a bidentate ligand selected from a beta-diketiminate and a beta-ketoiminate.
5. A complex according to claim 4 of formula II or III



II



III

wherein

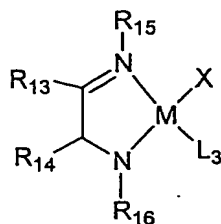
Y is H, hydrocarbyl or CN;

$R^{13-16}$  are each independently selected from H and hydrocarbyl; or Y and  $R^{13}$  are linked to form a hydrocarbyl group; and

$L_3$  is as defined in claim 1.

6. A complex according to claim 5 wherein  
 Y is selected from H, CN, alkyl, aryl, haloalkyl or heteroalkyl;  
 $R^{13-16}$  are each independently selected from alkyl, aryl, heteroalkyl, haloalkyl, cycloalkyl and a heterocyclic ring containing at least one O, N or S atom; or Y and  $R^{13}$  are linked to form an aryl group; and  
 $L_3$  is selected from  $R^7R^8O$ ,  $R^7R^8S$ ,  $R^7R^8R^9N$ ,  $R^7C=NR^8$ ,  $PR^7R^8R^9$ , thiophene and tetrahydrofuran, where  $R^{7-9}$  are each independently H or a hydrocarbyl group.

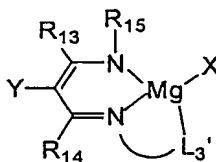
7. A complex according to claim 1 of formula V



V

wherein  $R^{13-16}$  are as defined in claim 5 or claim 6, and where  $R^{13}$  and  $R^{15}$  are optionally linked to form an aryl group.

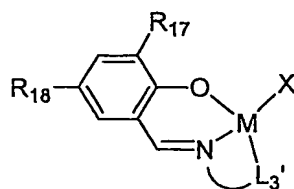
8. A complex according to any one of claims 1 to 3 wherein  $L_1$ ,  $L_2$  and  $L_3$  are linked to form a tridentate ligand.
9. A complex according to claim 8 wherein  $L_1$ ,  $L_2$  and  $L_3$  are linked to form a tridentate ligand selected from a beta-diketiminate with a pendant donor group, and a Schiff base derivative with a pendant donor arm.
10. A complex according to claim 9 of formula VI



VI

wherein  $L_3'$  is defined as for  $L_3$  in claim 1, and is linked to the nitrogen of the bidentate ligand via a linker group.

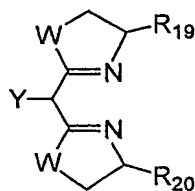
11. A complex according to claim 9 wherein said complex is of formula VII



VII

wherein  $L_3'$  is defined as for  $L_3$  in claim 1, and is linked to the nitrogen of the bidentate ligand via a linker group, and  $R^{17-18}$  are as defined for  $R^{13-16}$  above.

12. A complex according to claim 10 or claim 11 wherein the linker group is  $(CH_2)_n$  where  $n$  is 0-6, an arylene group, or  $SiR_2$ , where  $R$  is hydrocarbyl.
13. A complex according to claim 1 wherein  $L_1$  and  $L_2$  form a bidentate ligand of formula VIII



VIII

wherein

$Y$  is as defined above;

$W$  is  $O$ ,  $NH$ ,  $NR'$  or  $CH_2$  where  $R'$  is hydrocarbyl; and

$R^{19-20}$  are as defined for  $R^{13-16}$  above.

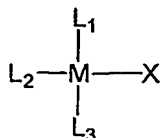
14. A complex comprising a dimer of a complex according to any preceding claim.
15. A complex according to claim 1 selected from the following:  
 $\{HC(C(CH_3)=N-2,6-^iPr_2C_6H_3)_2\}Mg^iPr$ ;

$[\{HC(C(CH_3)=N-2,6-^iPr_2C_6H_3)_2\}Mg(OC(=CH_2)Ar)]_2$ ;

$[\{HC(C(CH_3)=N-2,6-^iPr_2C_6H_3)_2\}Mg(OC(=CH_2)Ar) \cdot Et_2O]$ ;

wherein Ar = 2,4,6-Me<sub>3</sub>C<sub>6</sub>H<sub>2</sub>.

16. Use of a complex of formula Ia as a polymerisation initiator,



Ia

wherein

M is Ca, Mg, Ba or Sr;

L<sub>1</sub> is selected from R<sup>1</sup>O, R<sup>2</sup>S, R<sup>3</sup>R<sup>4</sup>N, R<sup>5</sup>R<sup>6</sup>P and substituted or unsubstituted cyclopentadienide, where R<sup>1-6</sup> are each independently H or hydrocarbyl;

L<sub>2</sub> is selected from R<sup>7</sup>R<sup>8</sup>O, R<sup>7</sup>R<sup>8</sup>S, R<sup>7</sup>R<sup>8</sup>R<sup>9</sup>N, R<sup>7</sup>R<sup>8</sup>C=NR<sup>9</sup>, PR<sup>7</sup>R<sup>8</sup>R<sup>9</sup>, or a heterocycle containing one or more O, N or S atoms, where R<sup>7-9</sup> are each independently H or a hydrocarbyl group; or L<sub>1</sub> and L<sub>2</sub> are linked to form a bidentate ligand;

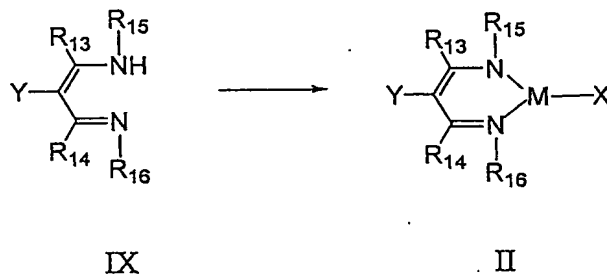
L<sub>3</sub> is absent or is a solvent molecule, or a neutral ligand as defined for L<sub>2</sub>, wherein L<sub>3</sub> may be the same or different to L<sub>2</sub>; or L<sub>3</sub> is linked to a further metal centre; or L<sub>1</sub>, L<sub>2</sub> and L<sub>3</sub> are linked to form a tridentate ligand; and

X is an alkyl group, and aryl group, an amide, an alkoxide, an aryloxy or an enolate group of formula R<sup>10</sup>R<sup>11</sup>C=CR<sup>12</sup>O-, wherein R<sup>10-12</sup> are each independently H or hydrocarbyl;

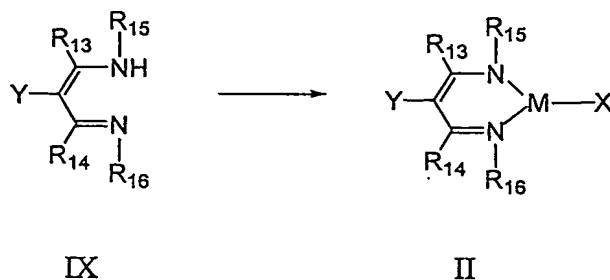
with the proviso that when L<sub>1</sub> and L<sub>2</sub> are {HC(C(CH<sub>3</sub>)=N-2,6-<sup>i</sup>Pr<sub>2</sub>C<sub>6</sub>H<sub>3</sub>)<sub>2</sub>}, M is magnesium, X is other than Me or <sup>t</sup>Bu.



17. Use according to claim 16 in the polymerisation of acrylate and/or alkyl acrylate monomers.
  18. Use according to claim 16 or 17 which further comprises the use of a chain transfer reagent.
  19. A process for the polymerisation of acrylate and/or alkylacrylate monomers, said process comprising contacting an initiating amount of a complex of formula Ia as defined in claim 16 with an acrylate and/or an alkylacrylate monomer in the presence of a suitable solvent.
- 
20. A process according to claim 19 wherein the ratio of monomer to the complex is between 10:1 and  $10^6:1$ .
  21. An article prepared by a process according to claims 19 or 20.
  22. A composition comprising an acrylate and/or an alkylacrylate monomer and a complex of formula Ia as defined in claim 16.
  23. A composition comprising poly(alkylacrylate) and poly(alkylmethacrylate) or copolymers thereof, and a complex of formula Ia as defined in claim 16.
  24. A process for preparing a complex of formula II as defined in claim 5, where X is alkyl, said process comprising reacting a compound of formula IX with (a)  $n\text{BuLi}$ , and (b)  $\text{XMgCl}$



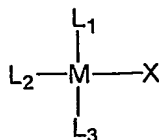
25. A process for preparing a complex of formula II as defined in claim 5, where X is alkyl, said process comprising reacting a compound of formula IX with  $MgX_2$



26. A process for preparing a complex of formula II, as defined in claim 5, where X is an enolate group of formula  $R^{10}R^{11}C=CR^{12}O^-$ , said process comprising reacting the product obtained from the process of claim 24 or claim 25 with a compound of formula  $HR^{10}R^{11}C-C(O)R^{12}$ .
27. A method for producing polymethacrylate having greater than 75% syndiotacticity, said method comprising contacting methacrylate monomer with a complex of formula Ia as defined in claim 16 in the presence of a suitable solvent.
28. A method according to claim 27 which is carried out at a temperature in excess of  $-40^\circ C$ .

ABSTRACT  
COORDINATION COMPLEX

The present invention provides a complex of formula I



I

wherein

M is Ca, Mg, Ba or Sr;

$L_1$  is selected from  $R^1O$ ,  $R^2S$ ,  $R^3R^4N$ ,  $R^5R^6P$  and substituted or unsubstituted cyclopentadienide, where  $R^{1-6}$  are each independently H or hydrocarbyl;

$L_2$  is selected from  $R^7R^8O$ ,  $R^7R^8S$ ,  $R^7R^8R^9N$ ,  $R^7R^8C=NR^9$ ,  $PR^7R^8R^9$ , or a heterocycle containing one or more O, N or S atoms, where  $R^{7-9}$  are each independently H or a hydrocarbyl group; or  $L_1$  and  $L_2$  are linked to form a bidentate ligand;

$L_3$  is absent or is a solvent molecule, or a neutral ligand as defined for  $L_2$ , wherein  $L_3$  may be the same or different to  $L_2$ ; or  $L_3$  is linked to a further metal centre; or  $L_1$ ,  $L_2$  and  $L_3$  are linked to form a tridentate ligand; and

X is an alkyl group, an aryl group, an aryloxy or an enolate group of formula  $R^{10}R^{11}C=CR^{12}O^-$ , wherein  $R^{10-12}$  are each independently H or hydrocarbyl;

with the proviso that when  $L_1$  and  $L_2$  are  $\{HC(C(CH_3)=N-2,6-^iPr_2C_6H_3)_2\}$  and M is magnesium, X is other than Me or  $^tBu$ .

(1/2)

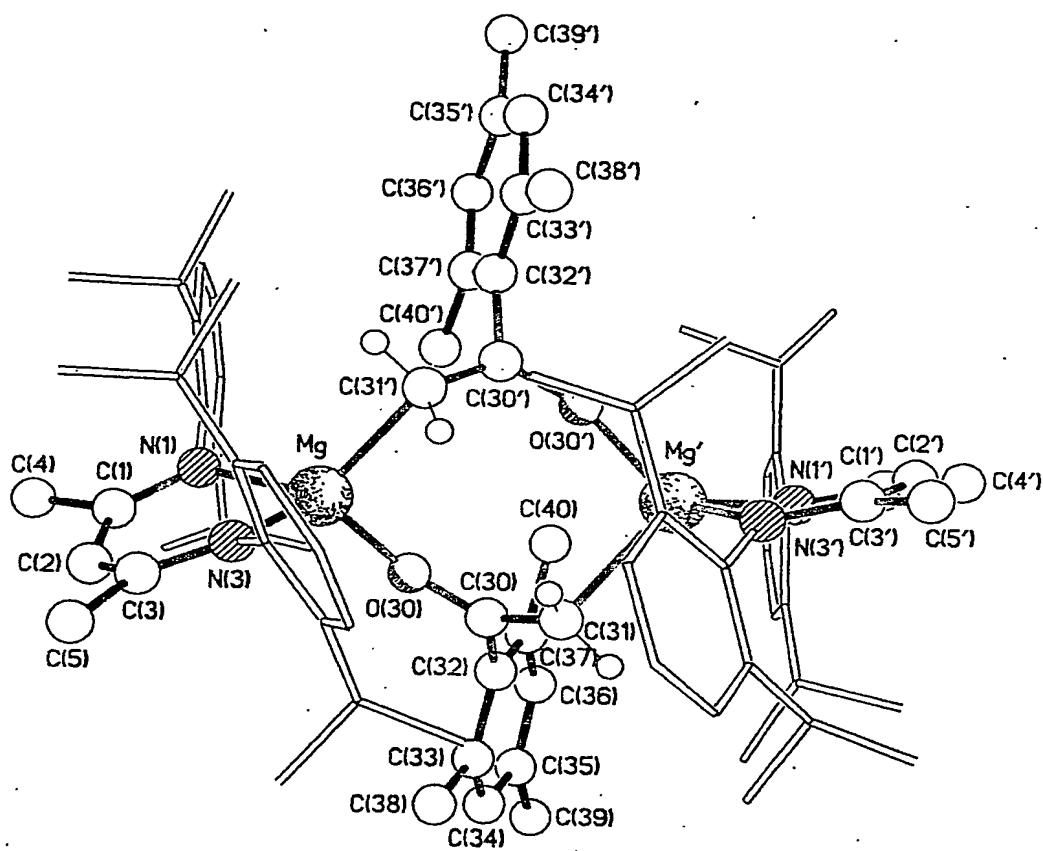


FIGURE 1

(2/2)

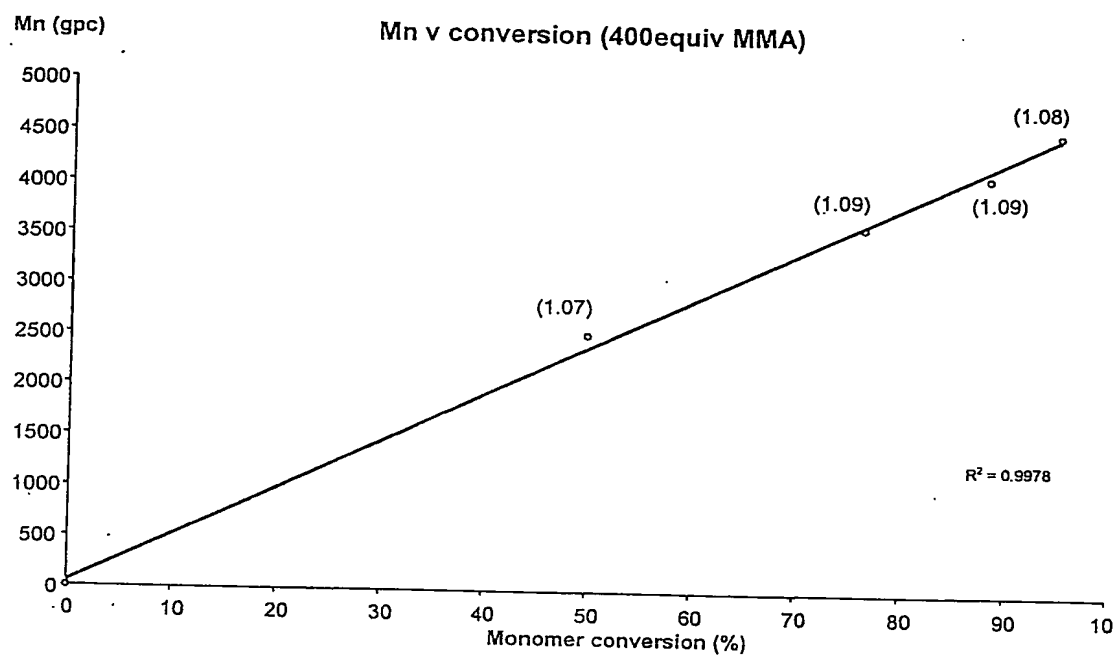


FIGURE 2

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